Declaration Reference and Key Data

Obligation Section Number: 5.07(d)(i)
Obligation Title: Harlem Community Development Corporation (HCDC) Financial Contribution
Obligation Page Number: 59
Obligation Trigger: Acquisition by ESD or CU of all Initial Stage 1 Condemnation Parcel(s)
Obligation Start Date: March 12, 2012
Obligation End Date: March 12, 2037
Obligation Status: In Compliance

Obligation

Harlem Community Development Corporation (HCDC) Financial Contribution ($20 Million over 25 years). CU shall make annual financial contributions to ESD’s subsidiary, the Harlem Community Development Corporation or its successor (“HCDC”), in increasing amounts, that shall extend for 24 years after the first contribution, and will total $20 million. The initial $500,000 contribution shall be paid by CU within thirty (30) Business Days of the acquisition by ESD or CU of all Initial Stage 1 Condemnation Parcels. Each subsequent yearly contribution shall increase by $25,000 over the prior year’s contribution until the $20 million is paid in full, and shall be paid by CU on the first Business Day of the year in which the contribution is due.

Evidence of Compliance

1. Proof of payment

Columbia University’s Implementation Plan and all supporting documentation are made available on the Columbia Neighbors Webpage at https://neighbors.columbia.edu/content/community-commitments.
EOC Checklist for Obligation 5.07(d)(i):

Please check to verify EOC items submitted for review.

☐ 1. Proof of payment

Monitor’s Notes / Comments:

___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________

Status:
Please check to indicate the status of Obligation 5.07(d)(i):

☐ In Compliance
☐ In Progress
☐ Not In Compliance
☐ Not Triggered
Annual Report: Harlem Community Development Corporation (HCDC) Financial Contribution

In accordance with Obligation Section 5.07 (d)(i), Columbia University makes annual contributions to ESD's subsidiary, the Harlem Community Development Corporation (HCDC).

Columbia University has made nine payments to the ESD subsidiary HCDC totaling $6,125,000 as of October 2021.

<table>
<thead>
<tr>
<th>Invoice Date</th>
<th>Payment Date</th>
<th>Amount Paid</th>
</tr>
</thead>
<tbody>
<tr>
<td>Initial Payment</td>
<td>Apr-12</td>
<td>$500,000.00</td>
</tr>
<tr>
<td>2013</td>
<td>Feb-13</td>
<td>$525,000.00</td>
</tr>
<tr>
<td>2014</td>
<td>Dec-13</td>
<td>$550,000.00</td>
</tr>
<tr>
<td>2015</td>
<td>Dec-14</td>
<td>$575,000.00</td>
</tr>
<tr>
<td>2016</td>
<td>Dec-15</td>
<td>$600,000.00</td>
</tr>
<tr>
<td>2017</td>
<td>Nov-16</td>
<td>$625,000.00</td>
</tr>
<tr>
<td>2018</td>
<td>Dec-17</td>
<td>$650,000.00</td>
</tr>
<tr>
<td>2019</td>
<td>Nov-18</td>
<td>$675,000.00</td>
</tr>
<tr>
<td>2020</td>
<td>Dec-19</td>
<td>$700,000.00</td>
</tr>
<tr>
<td>2021</td>
<td>Dec-20</td>
<td>$725,000.00</td>
</tr>
<tr>
<td><strong>Total Paid to Date</strong></td>
<td><strong>$6,125,000.00</strong></td>
<td></td>
</tr>
</tbody>
</table>

Additional Supporting Documentation

- Payment schedule
- Proof of annual payment
<table>
<thead>
<tr>
<th>Year</th>
<th>Initial Payment</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
<th>Year 5</th>
<th>Year 6</th>
<th>Year 7</th>
<th>Year 8</th>
<th>Year 9</th>
<th>Year 10</th>
<th>Year 11</th>
<th>Year 12</th>
<th>Total Payments</th>
</tr>
</thead>
<tbody>
<tr>
<td>2012</td>
<td>$500,000</td>
<td>$525,000</td>
<td>$550,000</td>
<td>$575,000</td>
<td>$600,000</td>
<td>$625,000</td>
<td>$650,000</td>
<td>$675,000</td>
<td>$700,000</td>
<td>$725,000</td>
<td>$750,000</td>
<td>$775,000</td>
<td>$800,000</td>
<td>$20,000,000</td>
</tr>
<tr>
<td>2025</td>
<td>$825,000</td>
<td>$850,000</td>
<td>$875,000</td>
<td>$900,000</td>
<td>$925,000</td>
<td>$950,000</td>
<td>$975,000</td>
<td>$1,000,000</td>
<td>$1,025,000</td>
<td>$1,050,000</td>
<td>$1,075,000</td>
<td>$1,100,000</td>
<td>$20,000,000</td>
<td></td>
</tr>
</tbody>
</table>
AP Fax #       (212) 851-7905
Voucher ID       [Redacted]
Vendor Name       EMPIRE STATE DEV CORP
Invoice #       [Redacted]
Amount       $525,000.00
Uploaded By       [Redacted]
Page Count       [Redacted] (Including this Page)
AP Fax # (212) 851-7905
Voucher ID [Redacted]
Vendor Name EMPIRE STATE DEV CORP
Invoice # [Redacted]
Amount $550,000.00
Uploaded By [Redacted]
Page Count [Redacted] (Including this Page)
AP Fax #  (212) 851-7905
Voucher ID  
Vendor Name  EMPIRE STATE DEV CORP
Invoice #  
Amount  $575,000.00
Uploaded By  
Page Count  ________ (Including this Page)

Printed On: 12/23/2014 10:06 AM
COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

AP Fax # (212) 851-7905
Voucher ID [REDACTED]
Vendor Name EMPIRE STATE DEV CORP
Invoice # [REDACTED]
Amount $600,000.00
Uploaded By [REDACTED]
Page Count ________ (Including this Page)
<table>
<thead>
<tr>
<th>Description</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>AP Fax #</td>
<td>(212) 851-7905</td>
</tr>
<tr>
<td>Voucher ID</td>
<td></td>
</tr>
<tr>
<td>Vendor Name</td>
<td>EMPIRE STATE DEV CORP</td>
</tr>
<tr>
<td>Invoice #</td>
<td></td>
</tr>
<tr>
<td>Amount</td>
<td>$625,000.00</td>
</tr>
<tr>
<td>Uploaded By</td>
<td></td>
</tr>
<tr>
<td>Page Count</td>
<td>_______ (Including this Page)</td>
</tr>
</tbody>
</table>

Printed On: 11/2/2016 10:58 AM
COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

AP Fax # (212) 851-7905
Voucher ID [Redacted]
Vendor Name EMPIRE STATE DEV CORP
Invoice # [Redacted]
Amount $650,000.00
Uploaded By [Redacted]
Page Count [Redacted] (Including this Page)
<table>
<thead>
<tr>
<th>Field</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>AP Fax #</td>
<td>(212) 851-7905</td>
</tr>
<tr>
<td>Voucher ID</td>
<td></td>
</tr>
<tr>
<td>Vendor Name</td>
<td>EMPIRE STATE DEV CORP</td>
</tr>
<tr>
<td>Invoice #</td>
<td></td>
</tr>
<tr>
<td>Amount</td>
<td>$675,000.00</td>
</tr>
<tr>
<td>Uploaded By</td>
<td></td>
</tr>
<tr>
<td>Page Count</td>
<td>________ (Including this Page)</td>
</tr>
</tbody>
</table>
COLUMBIA UNIVERSITY
IN THE CITY OF NEW YORK

AP Fax # (212) 851-7905

Voucher ID

Vendor Name EMPIRE STATE DEV CORP

Invoice #

Amount $700,000.00

Uploaded By

Page Count ________ (Including this Page)
**Invoice Information**

- **Business Unit**: COLUM
- **Voucher ID**: [redacted]
- **Voucher Style**: Regular Voucher
- **Invoice No**: [redacted]
- **Accounting Date**: 12/21/2020
- **Pay Terms**: 00
- **Due Now**: [redacted]
- **Basis Date Type**: Inv Date

**Invoice Total**

<table>
<thead>
<tr>
<th>Description</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Line Total</td>
<td>725,000.00</td>
</tr>
<tr>
<td>Currency</td>
<td>USD</td>
</tr>
<tr>
<td>Total</td>
<td>725,000.00</td>
</tr>
<tr>
<td>Difference</td>
<td>0.00</td>
</tr>
</tbody>
</table>

**Supplier Information**

- **Supplier ID**: [redacted]
- **ShortName**: EMPIRE STA-002
- **Location**: WIRE-01
- **Address**: [redacted]

**Voucher Attributes**

- **Service Location**: US
- **Voucher Type**: OCG

**Actions**

- Save
- View Documents
- Copy From Source Document

**Copy From Source Document**

- **PO Unit**: [redacted]
- **PO Number**: [redacted]
- **Copy PO**: [redacted]
- **Copy From**: None
- **Go**