Declaration Reference and Key Data

Obligation Section Number: 5.05(b)(i)
Obligation Title: Residential Relocation Obligations (Block 1998, Lot 38 and Block 1999, Lots 29, 30, 31, 32 and 33)
Obligation Page Number: 50
Obligation Trigger: Dates the Four Lots and the HPD Lots are required for Project purposes
Obligation Start: April 7, 2016 (Issuance of TCO for first replacement residential building)*
Obligation End Date: Completion of commitment (but in no case later than December 31, 2025)
Obligation Status: In Compliance

Obligation

By December 31, 2025, CU: (A) shall complete the construction of a minimum of 33 safe, sanitary, affordable residential dwelling units on the Replacement Sites to replace the dwelling units on Block 1998, Lot 38; and (B) shall complete the construction of a minimum of 50 safe, sanitary, affordable residential dwelling units on the Replacement Sites to replace the dwelling units on Block 1999, Lots 29, 30, 31, 32 and 33, in accordance with Section H.2 of the GPP.

Section H.2 of the GPP: Columbia would cause the construction of new housing to replace 75 residential dwelling units in the Four Lots and the HPD Lots that are required for Project purposes plus a minimum 10% additional housing units. These new housing units, a minimum of 83 total, would be completed in a timeframe acceptable to HPD, but in no case later than 2025.

* The University negotiated successfully with the tenants of Block 1998, Lot 38 and Block 1999, Lot 33 to vacate their existing properties and move into a new building constructed by the University to the specifications and requirements of the New York City Department of Housing Preservation and Development.

While the University was under no obligation to move the tenants of Block 1998, Lot 38 or Block 1999, Lot 33 until just prior to the development on Development Sites 8 and 11, the University completed construction of the new building and worked with New York City and State agencies to finalize arrangements to move the tenants. Tenants on Block 1998, Lot 38 were successfully relocated in December 2016. Tenants on Block 1999, Lot 33 were successfully relocated in December 2018.

Evidence of Compliance

1. Copies of CU agreements with HPD or the owners of the Four Lots regarding the development of replacement and additional affordable housing units
2. Copies of Temporary Certificates of Occupancy upon completion of the units
3. Link to webpage with information on construction project, containing list of community meetings attended
4. Link to webpage with copies of bi-weekly construction updates, when available
5. Copies of relevant public notifications regarding tenant relocation

Columbia University’s Implementation Plan and all supporting documentation are made available on the Community Services Webpage at http://manhattanville.columbia.edu/community/benefits-and-amenities.
EOC Checklist for Obligation 5.05(b)(i):

Please check to verify EOC items submitted for review.

☐ 1. Copies of CU agreements with HPD or the owners of the Four Lots regarding the development of replacement and additional affordable housing units

☐ 2. Copies of Temporary Certificates of Occupancy upon completion of the units

☐ 3. Link to webpage with information on construction project, containing list of community meetings attended

☐ 4. Link to webpage with copies of bi-weekly construction updates, when available

☐ 5. Copies of relevant public notifications regarding tenant relocation

Monitor’s Notes / Comments:
___________________________________________________________________________________________
___________________________________________________________________________________________
___________________________________________________________________________________________
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Status:
Please check to indicate the status of Obligation 5.05(b)(i):

☐ In Compliance

☐ In Progress

☐ Not In Compliance

☐ Not Triggered
Residential Relocation Obligations

1. Copies of CU agreements with HPD of the owners of the Four Lots regarding the development of replacement and additional affordable housing units

A copy of the agreement between Columbia University (CU) and the New York City Department of Housing Preservation and Development (HPD) will be made available upon request from Empire State Development.
Certificate of Occupancy

CO Number: 121182530T002

This certifies that the premises described herein conforms substantially to the approved plans and specifications and to the requirements of all applicable laws, rules and regulations for the uses and occupancies specified. No change of use or occupancy shall be made unless a new Certificate of Occupancy is issued. This document or a copy shall be available for inspection at the building at all reasonable times.

<table>
<thead>
<tr>
<th>A. Borough: Manhattan</th>
<th>Block Number: 02094</th>
<th>Certificate Type: Temporary</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address: 3595 BROADWAY</td>
<td>Lot Number(s): 29</td>
<td>Effective Date: 04/07/2016</td>
</tr>
<tr>
<td>Building Identification Number (BIN): 1083034</td>
<td>Building Type: New</td>
<td>Expiration Date: 07/06/2016</td>
</tr>
</tbody>
</table>

This building is subject to this Building Code: 2008 Code

For zoning lot metes & bounds, please see BISWeb.

<table>
<thead>
<tr>
<th></th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Building Identification Number (BIN): 1083034</td>
<td>Building Type: New</td>
</tr>
<tr>
<td>Multiple Dwelling Law Classification: CNL</td>
<td>Building Occupancy Group classification: R-2</td>
</tr>
<tr>
<td>No. of stories: 12</td>
<td>Height in feet: 119</td>
</tr>
<tr>
<td>No. of dwelling units: 43</td>
<td>(2008 Code)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>C. Fire Protection Equipment:</th>
</tr>
</thead>
<tbody>
<tr>
<td>None associated with this filing.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>D. Type and number of open spaces:</th>
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</thead>
<tbody>
<tr>
<td>None associated with this filing.</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>E. This Certificate is issued with the following legal limitations:</th>
</tr>
</thead>
<tbody>
<tr>
<td>None</td>
</tr>
</tbody>
</table>

Outstanding requirements for obtaining Final Certificate of Occupancy:

There are 22 outstanding requirements. Please refer to BISWeb for further detail.

Borough Comments: None

Borough Commissioner

Commissioner

DOCUMENT CONTINUES ON NEXT PAGE
**Certificate of Occupancy**

CO Number: 121182530T002

<table>
<thead>
<tr>
<th>Floor</th>
<th>Maximum persons permitted</th>
<th>Live load lbs per sq. ft.</th>
<th>Building Code occupancy group</th>
<th>Dwelling or Rooming Units</th>
<th>Zoning use group</th>
<th>Description of use</th>
</tr>
</thead>
<tbody>
<tr>
<td>CEL</td>
<td>63</td>
<td>OG</td>
<td>A-3 R-2 U</td>
<td>4, 2</td>
<td></td>
<td>Utility room, accessory use for house of worship, bike storage for 24 bicycles</td>
</tr>
<tr>
<td>001</td>
<td>310</td>
<td>60</td>
<td>A-3</td>
<td>4</td>
<td></td>
<td>House of worship.</td>
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<tr>
<td>001</td>
<td>44</td>
<td>100</td>
<td>M</td>
<td>6</td>
<td></td>
<td>Retail space.</td>
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<tr>
<td>001</td>
<td></td>
<td></td>
<td>R-2</td>
<td>2</td>
<td></td>
<td>Residential lobby</td>
</tr>
<tr>
<td>002</td>
<td>96</td>
<td>60</td>
<td>A-3</td>
<td>4</td>
<td></td>
<td>House of worship and accessory offices.</td>
</tr>
<tr>
<td>002</td>
<td>14</td>
<td>100</td>
<td>M</td>
<td>6</td>
<td></td>
<td>Retail space.</td>
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<tr>
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<td>2</td>
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<td>Five (5) Class &quot;A&quot; apartments</td>
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<td>2</td>
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<td>2</td>
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<td>R-2</td>
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<td>2</td>
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<td>Five (5) Class &quot;A&quot; apartments</td>
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<td>2</td>
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<td>Five (5) Class &quot;A&quot; apartments</td>
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<tr>
<td>008</td>
<td>40</td>
<td>R-2</td>
<td>5</td>
<td>2</td>
<td></td>
<td>Five (5) Class &quot;A&quot; apartments</td>
</tr>
<tr>
<td>009</td>
<td>40</td>
<td>R-2</td>
<td>3</td>
<td>2</td>
<td></td>
<td>Three (3) Class A apartments and terrace</td>
</tr>
</tbody>
</table>

All Building Code occupancy group designations below are 2008 designations.

Borough Commissioner

Commissioner

*DOCUMENT CONTINUES ON NEXT PAGE*
## Certificate of Occupancy

**CO Number:** 121182530T002

### Permissible Use and Occupancy

All Building Code occupancy group designations below are 2008 designations.

<table>
<thead>
<tr>
<th>Floor From To</th>
<th>Maximum persons permitted</th>
<th>Live load lbs per sq. ft.</th>
<th>Building Code occupancy group</th>
<th>Dwelling or Rooming Units</th>
<th>Zoning use group</th>
<th>Description of use</th>
</tr>
</thead>
<tbody>
<tr>
<td>010</td>
<td>40</td>
<td>3</td>
<td>R-2</td>
<td>3</td>
<td>2</td>
<td>THREE (3) CLASS &quot;A&quot; APARTMENTS, INDOOR RECREATION ROOM</td>
</tr>
<tr>
<td>011</td>
<td>40</td>
<td>3.5</td>
<td>R-2</td>
<td>2</td>
<td>THREE (3) CLASS &quot;A&quot; APARTMENTS AND ONE-HALF (1/2) CLASS &quot;A&quot; APARTMENT (LOWER PORTION)</td>
<td></td>
</tr>
<tr>
<td>012</td>
<td>40</td>
<td>3.5</td>
<td>R-2</td>
<td>2</td>
<td>THREE (3) CLASS &quot;A&quot; APARTMENTS AND ONE-HALF (1/2) CLASS &quot;A&quot; APARTMENT (UPPER PORTION)</td>
<td></td>
</tr>
<tr>
<td>RO F</td>
<td>40</td>
<td>40</td>
<td>R-2</td>
<td>U</td>
<td>2</td>
<td>TERRAC, BOILER ROOM</td>
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</tbody>
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ZONING EX. II,III,IV HAVE BEEN RECORDED IN THE CITY Registers OFFICE UNDER #S 2012000408202, 2012000408201, 2012000408206 & 2012000408198. EASEMENT DE CLARATION UNDER CRFN# 2012000408205

**END OF SECTION**
Residential Relocation Obligations

Link to webpage with copies of bi-weekly construction updates, when available

http://facilities.columbia.edu/node/1328/1796/1799
Residential Relocation Obligations

Link to webpage with information on construction project, containing list of community meetings attended

http://facilities.columbia.edu/node/1328/1796

COLUMBIA UNIVERSITY FACILITIES

Current Construction Projects

3595 Broadway (at 148th Street)

Community Engagement Construction Updates FAQ Project Team

RECEIVE PROJECT E-MAIL UPDATES:

Click here and write “3595 Broadway” in the subject line to receive weekly project e-mail updates.

ABOUT THIS PROJECT:

Columbia University is constructing a new 12-story building containing affordable housing apartments on the southwest corner of Broadway and 148th Street. The Meeting with God Church, currently located at 3581 Broadway at 147th Street, will be moving into the ground level space of this new building. The remaining areas of the new building will include affordable housing units for some residents currently living in the area of Manhattanville in West Harlem where the University is building a new campus. The building is expected to be completed in 2016.

DESIGN FEATURES & SUSTAINABLE ELEMENTS:

The project will follow certain principles within Enterprise Green Communities, the first national green building program developed for affordable housing. The program focuses on the use of environmentally sustainable materials, reduction of negative environmental impacts and increased energy efficiency, and emphasizes designs and materials that safeguard the health of residents and locations that provide easy access to services and public transportation. Three green roofs will also be incorporated into the project.
By JOSH BARBANEL  
Feb. 17, 2016 7:26 p.m. ET  
http://www.wsj.com/articles/a-long-journey-to-owner-ship-nears-its-goal-1455755210

A LONG JOURNEY TO OWNERSHIP NEARS ITS GOAL  
Residents being displaced by Columbia will buy affordable homes built by the university

Ms. Muentes and her friend Luisa Henriquez were part of a community of 20 neighbors who lived together in a century-old, six-story walk-up on West 132nd, just west of Broadway.

The city foreclosed on the building in 1978 because of unpaid taxes. Since then, it has promised the tenants several times that they would be able to purchase their apartments for $250 each as part of a low-income co-op, if they showed they could manage it themselves, said Ms. Henriquez, a retired assistant preschool teacher.

Then, in 2003, Columbia began discussing plans for a new campus. In the end, Columbia agreed to put up the new building with “equal or better housing” for displaced tenants on a site it purchased in 2008. Residents are to move in this spring.

After waiting decades for a shot at home ownership, Hilda Muentes, 80 years old, a retired sample maker in the garment industry, bounded Tuesday through a new apartment in Hamilton Heights that she soon will buy for $250.

She pulled out her cellphone and took a picture of the shiny, single-handle faucet on the tub in her new bathroom. Then she turned to the kitchen and photographed a window facing Broadway. “Look a window in the kitchen,” she said.

The 12-story building, at Broadway and West 148th Street, was built by Columbia University. It fulfills a promise to build replacement affordable housing for tenants whose old building is to be razed to make way for the university’s new Manhattanville campus rising along Broadway above West 125th Street.

The building will provide 42 affordable apartments, with rooftop patios for tenants with views from the George Washington Bridge to Midtown skyscrapers. Some laundry rooms and meeting rooms have Hudson River views.

Columbia will sell 20 apartments to the tenants of West 132nd, who in turn will set up their own co-
op. Seven more units will go to former tenants of a second building on Broadway also being displaced by Columbia. The rest will be filled by the city with a housing lottery, a city spokeswoman said.

The tenants at West 132nd Street named their new co-op the Exodus Housing Development Fund Corp., reflecting their long journey toward home ownership. The building will also have retail space to be retained by Columbia, and a new home for the Meeting with God Pentecostal Church displaced from West 130th Street by the Columbia project.

“We were waiting for many years, looking for a new location, and finally God opened the door through Columbia University,” said Rev. Henry Mercado, the church’s pastor, after it relocated to a temporary space in 2009.

Isabel Rodriguez, a partner at Solomon & Bernstein, represented the tenants along with partner Joel Bernstein. They credited the tenants with holding their building together during decades when many other uptown buildings deteriorated or were abandoned.

Ms. Muentes moved into the West 132nd Street building in 1968. A few years later, an uncle, Arturo, purchased it for about $1,300 a unit. After he died in 1975, Ms. Muentes said her husband took over the building and stayed on as superintendent after the city foreclosed.

Columbia will cover most cost increases over the next 15 years to keep maintenance and rents low, provide reserve funds for both co-ops, and pay $7,000 to cover relocation costs, plus $2,000 for tenants who use their own movers.

The building was designed by Magnusson Architecture & Planning, a firm with extensive experience with cost-conscious affordable housing. Since the scaffolding came down, the building has faced some criticism.

Have something to say about an article in Greater New York? Email us, along with your contact information, at gnyltrs@wsj.com. Letters will be edited for brevity and clarity. Please include your city and state.

It includes yellow, beige, black, red and blue brick, and an irregular pattern of windows, that several architects and preservationists said is largely disconnected from the century-old brick buildings with terra-cotta trim that line nearby sections of Broadway.

“It is a good-faith effort by Columbia,” said Andrew Dolkart, director of the Historic Preservation Program at Columbia. “They are sticking to the deal they made.”

But he said the building looks like “affordable housing” without context. “You see that a lot in the Bronx, in neighborhoods where there isn’t that much context.”

Ms. Rodriguez disagreed. “They didn’t build Versailles but they built a beautiful building that fits with the architecture of New York,” she said. “The roof deck is gorgeous.”

Write to Josh Barbanel at josh.barbanel@wsj.com
Welcome Home: 19 Local Residents Become Harlem Co-op Owners in Building Constructed by Columbia

April 26, 2018

Nineteen families that had previously resided in a New York City Housing Preservation and Development (HPD)-owned building on W. 132nd Street in Manhattanville became co-op shareholders in a newly constructed 42-unit building in Hamilton Heights. Columbia University welcomed them to their new homes with a warm celebration.
As part of Columbia’s commitment to provide equal or better affordable housing for those residents of the two buildings within the Manhattanville Campus expansion area that are part of the city’s Tenant-in-Lease (TIL program), the University constructed the new 12-story building at Broadway and West 148th Street. Columbia worked with the residents and other stakeholders to coordinate the relocation of the residents to the new building.

The Hamilton Heights building was built to Enterprise Green Communities guidelines, in support of both community health and the University’s Sustainability Plan. All units in the building have roof deck access, bicycle storage, meeting space, and laundry areas. The landscaped roof terraces provide residents with panoramic views of the Hudson River, the Manhattan skyline, and the George Washington Bridge.

The families moved into their new apartments in December 2016. The issuance of shares in the newly formed co-operative in April 2018 was the culmination of their transition. The new agreement Columbia helped negotiated allowed them to become homeowners sooner than they otherwise would have.

The residents of a second HPD-owned building in Manhattanville are anticipated to relocate to the building in 2018, creating what is expected to be another independent co-op within the new building.

A kitchen in one of the new apartments.

Tags: Construction Business Initiatives
News

October 01, 2018
2018 Annual Security and Fire Safety Report Released
Tags:  Public Safety

September 14, 2018
Summer 2019 Capital Project Request Reminder
Tags:  Summer Projects

September 13, 2018
Public Safety Helps Students Stay Secure

September 11, 2018
Summer 2018 Construction Update
Tags:  Summer Projects  Planning and Capital Project Management  Campus Operations

September 10, 2018
Lower Campus Accessibility Ramp Installation
Tags:  Planning and Capital Project Management  Accessibility Improvements